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PATENT
Docket No. 3788-7004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

UTILITY APPLICATION AND
APPLICATION FEE TRANSMITTAL (1.53(b))

Assistant Commissioner for Patents
BOX PATENT APPLICATIONS
Washington, D.C. 20231

JC675 U.S. PTO
09/476877
01/03/00

Sir:

Transmitted herewith for filing is the patent application of

Named Inventor(s): Lawrence M. AUSUBEL

Inventor(s) Address: 2920 Garfield Terrace, N.W., Washington, D.C. 20008

For: COMPUTER IMPLEMENTED METHODS AND APPARATUS FOR AUCTIONS

[X] 76 Page(s) of Specification

[X] 1 Page(s) of Oath or Declaration

[X] 4 Page(s) of Revocation of Power of Attorney

[X] 17 Sheet(s) of Drawing
[X] Formal [] Informal

[X] 1 Page(s) of Declaration and Power of Attorney

[] Unassigned
[] Newly Executed
[X] Copy from prior application

[] Deletion of inventors including Signed Statement under 37 C.F.R. §1.63(d)(2).

[X] Copy of Revocation

[X] Incorporation by Reference: The entire disclosure of the prior application, from which a

copy of the combined declaration and power of attorney is supplied herein, is considered as being part of the disclosure of the accompanying application and is incorporated herein by reference.

- ☐ Microfiche Computer Program (Appendix)
- ☐ Page(s) of Sequence Listing
 - ☐ Computer readable disk containing Sequence Listing
 - ☐ Statement under 37 C.F.R. §1.821(f) that computer and paper copies of the Sequence Listing are the same

☐ Claim for Priority

- ☐ Certified copy of Priority Document(s)
 - ☐ English translation documents

☒ Preliminary Amendment

☒ Return receipt postcard

☐ Assignment papers (assignment cover sheet and assignment documents)

- ☐ A check in the amount of \$40.00 for recording the Assignment
- ☐ Assignment papers filed in parent application serial no.
- ☐ Certification of chain of title pursuant to 37 C.F.R. §3.73(b)

☒ This is: ☒ Continuation
 ☐ divisional
 ☐ continuation-in-part (C-I-P) of prior application serial no. 09/303,636

☒ Cancel in this application original claims of the parent application before calculating the filing fees. (At least one original independent claim must be retained for filing purposes.

☒ A Preliminary Amendment is enclosed. (Claims added by this Amendment have been properly numbered consecutively beginning with the number following the highest numbered original claim in the prior application.

☒ The status of the parent application is as follows:

- ☐ A Petition for Extension of Time and a Fee therefor has been or is being filed in the parent application to extend the term for action in the parent application until
- ☐ A copy of the Petition for Extension of Time in the co-pending parent application is attached.

☒ No Petition for Extension of Time and Fee therefor are necessary in the co-pending parent application.

☐ Please abandon the parent application at a time while the parent application is pending or at a time when the petition for extension of time in that application is granted and while this application is pending has been granted a filing date, so as to make this application co-pending.

☐ Transfer the drawing(s) from the patent application to this application

☒ Amend The specification by inserting before the first line the sentence:

--This is a ☒ Continuation ☐ Divisional ☐ Continuation-in-part of co-pending application
Serial No. 09/303,636 filed May 3, 1999--

CALCULATION OF APPLICATION:

	Number Filed	Number Extra	Rate	Basic Fee \$345.00
Total Claims:	31-20	11	X 9.00	\$99
Independent Claims:	5-3	2	X 39.00	\$78

Multiple Dependent Claims:

☐ Yes Additional fee \$260.00 \$0.00
☐ No Additional fee

Total: \$522.00

☒ A statement claiming small entity status is attached or has been filed in the above-identified parent application and its benefit under 37 CFR §1.28(a) is hereby claimed. Reduced fees under 37 C.F.R. §1.9(f) (50% of total) paid herewith \$522.00.

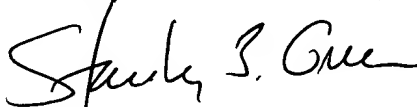
☐ A check in the amount of \$_____ in payment of the application filings fees is attached.

☒ Charge Fee(s) to Deposit Account No. 13-4503. Order No. 3788-7004. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.

- [X] The Assistant Commissioner is hereby authorized to charge any additional fees which may be required for filing this application, or credit any overpayment to Deposit Account No. 13-4503. Order No. 3788-7004.
A DUPLICATE COPY OF THIS SHEET IS ATTACHED.

Respectfully submitted,

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Date: 1/3/00

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